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| APPLICATION NO.                  | F                     | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|----------------------------------|-----------------------|------------|----------------------|-------------------------|------------------|
| 09/765,380                       | 09/765,380 01/22/2001 |            | Fumio Nagashima      | 1080.1045CIPD3          | 1046             |
| 21171                            | 7590                  | 05/14/2002 |                      |                         |                  |
| STAAS &                          |                       |            | EXAMINER             |                         |                  |
| 700 11TH STREET, NW<br>SUITE 500 |                       |            | KHATRI, ANIL         |                         | , ANIL           |
| WASHINGTON, DC 20001             |                       | 20001      |                      | ART UNIT                | PAPER NUMBER     |
|                                  |                       |            |                      | 2122                    | ľ                |
|                                  | <b></b>               |            |                      | DATE MAILED: 05/14/2002 | 6                |

Please find below and/or attached an Office communication concerning this application or proceeding.

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# Application No. 09/765,380

Applicant(s)

Nagashima et al.

#### Examiner

Office Action Summary

Anil Khatri

Art Unit 2122



| The MAILING DATE of this communication appears   | on the cover sheet with the correspondence address   |  |  |  |  |
|--|--|--|--|--|--|
| Period for Reply   |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the  |  |  |  |  |  |
| mailing date of this communication.  |  |  |  |  |  |
| <ul> <li>If the period for reply specified above is less than thirty (30) days, a reply within the     If NO period for reply is specified above, the maximum statutory period will apply a     Failure to reply within the set or extended period for reply will, by statute, cause the     Any reply received by the Office later than three months after the mailing date of the     earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul> | and will expire SIX (6) MONTHS from the mailing date of this communication.  ne application to become ABANDONED (35 U.S.C. § 133). |  |  |  |  |
| Status   |  |  |  |  |  |
| 1) 🛛 Responsive to communication(s) filed on <u>Jan 22, 2</u>  | 001 .  |  |  |  |  |
| 2a) ☐ This action is <b>FINAL</b> . 2b) ☒ This act   | ion is non-final.  |  |  |  |  |
| 3) Since this application is in condition for allowance closed in accordance with the practice under Ex pa   | except for formal matters, prosecution as to the merits is re Quayle, 1935 C.D. 11; 453 O.G. 213.                                  |  |  |  |  |
| Disposition of Claims  |  |  |  |  |  |
| 4) 💢 Claim(s) <u>53-55</u>   | is/are pending in the application.   |  |  |  |  |
| 4a) Of the above, claim(s)   | is/are withdrawn from consideration.   |  |  |  |  |
| 5)   | is/are allowed.  |  |  |  |  |
| 6) 💢 Claim(s) <u>53-55</u>   | is/are rejected.   |  |  |  |  |
| 7)   | is/are objected to.  |  |  |  |  |
| 8) Claims  | are subject to restriction and/or election requirement.  |  |  |  |  |
| Application Papers   |  |  |  |  |  |
| 9) $\square$ The specification is objected to by the Examiner.   |  |  |  |  |  |
| 10) The drawing(s) filed on is/are   | a) $\square$ accepted or b) $\square$ objected to by the Examiner.   |  |  |  |  |
| Applicant may not request that any objection to the d  | rawing(s) be held in abeyance. See 37 CFR 1.85(a).   |  |  |  |  |
| 11) The proposed drawing correction filed on   | is: a) $\square$ approved b) $\square$ disapproved by the Examiner.  |  |  |  |  |
| If approved, corrected drawings are required in reply  | to this Office action.   |  |  |  |  |
| 12) $\square$ The oath or declaration is objected to by the Exami  | iner.  |  |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120  |  |  |  |  |  |
| 13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |  |  |  |  |  |
| a) □ All b) □ Some* c) □ None of:  |  |  |  |  |  |
| 1. Certified copies of the priority documents hav  |  |  |  |  |  |
| 2. U Certified copies of the priority documents hav  |  |  |  |  |  |
| <ul> <li>3.          Copies of the certified copies of the priority description application from the International Bure</li> <li>*See the attached detailed Office action for a list of the</li> </ul>   |  |  |  |  |  |
| 14) Acknowledgement is made of a claim for domestic  |  |  |  |  |  |
| a) The translation of the foreign language provisiona  |  |  |  |  |  |
| 15) Acknowledgement is made of a claim for domestic  |  |  |  |  |  |
| Attachment(s)  |  |  |  |  |  |
| 1) X Notice of References Cited (PTO-892)  | 4) Interview Summary (PTO-413) Paper No(s).  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) Notice of Informal Patent Application (PTO-152)   |  |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s).   | 6) Other:  |  |  |  |  |

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#### **DETAILED ACTION**

# Specification

- 1. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.
- 2. The following title is suggested: "Method For Storing Software Objects From Existing Software Components".

# Claim Rejections - 35 U.S.C. § 112

- 3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 4. Claims 53-55 are rejected under 35 U.S.C. 112, second paragraph, as being incomplete for omitting essential steps, such omission amounting to a gap between the steps. See MPEP § 2172.01. The omitted steps are: As per claimed subject matter recites "storing a component" which includes a method. It is unclear that how a method has been stored and in what component/object each method will carry out the instructions stored on storage medium for existing software. Furthermore, "method of issuing an event" is vague and indefinite, since the subject matter lacks actual step for said method(s) to establish any relationship in said method and objects.

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## Claim Rejections - 35 U.S.C. § 101

5. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

- 6. Claim 53-55 are rejected under 35 U.S.C. 101 because they disclose storing component serving as an object does not produce any concrete results.
- 7. Analysis: In claims 53-55 the invention found to be in technical art since the invention is to be practice on a computer. Each of the claim is disclosed by an application as being storing medium. Since the claims are each a series of step to be performed on a computer the processes must be analyzed to determine whether they are statutory under 35 U.S.C. 101.

Examiner interpret that claims are non-statutory because they are not new and useful. Specifically, the claimed subject matter "component storage medium for components" are not new and does not produce any concrete and tangible results. Applicant present no substance upon which the claimed inventions acts and on this basis examiner interprets that applicants invention to produce a useful results and its functionality can be realized. Therefore, claims 53-55 are rejected under 35 U.S.C. 101.

#### Claim Rejections - 35 U.S.C. § 102

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

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A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

9. Claims 53-55 are rejected under 35 U.S.C. 102(b) as being anticipated by *Shackelford et al* US Patent no. 5,265,206.

#### Regarding claim 53

Shackelford et al teaches,

- storage for a component which serve as an object in combination with existing software component including a method of issuing an event of the existing software in response to a message issued in another object (see abstract and figures 3 and 4).

#### Regarding claim 54

Shackelford et al teaches,

- component further includes a message for informing other object that the event is issued through executing method (column 2, lines 1-18, "the method corresponds... message call").

#### Regarding claim 55

Shackelford et al teaches,

- a component which serve as one object in combination with existing software component including a message for informing other objects upon receipt of occurrence of an event of the existing software that the event is generated (see abstract and figures 3 and 4, column 20, lines 34-65, "object manager....class if not loaded").

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#### Conclusion

- 10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- Arnold et al US Patent no. 5,551,035
- Misheski et al US Patent no. 6,298,476
- Jung et al US Patent no. 6,330,527
- Dellinger et al US Patent no. 6,292,925

#### Correspondence Information

- 11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anil Khatri whose telephone number is (703) 305-0282, Mon-Fri (8:30 a.m.-5:00 p.m.) or contact Supervisor Mr. Gregory Morse at (703) 308-4789.
- 12. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose phone number is (703) 305-3900.

May 9, 2002

Anii Khatri, Ph.D. Patent Examiner Art Unit 2122